

IN THE UNITED STATES OF AMERICA
PATENT AND TRADEMARK OFFICE

APPLICANTS: John T. Caprio and Tine B. Valentincic

TITLE: Baits Which Contain Amino Acids for Catching Ictalurid
Catfish and Fish with Similar Feeding Behavior

ATTORNEY DOCKET NO.: 6451/043

COMMISSIONER OF PATENTS AND TRADEMARKS
WASHINGTON, D.C. 20231

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below-named inventor, I hereby declare that:

This declaration is for a continuation-in-part application.

My residence, post office address and citizenship are as stated
below next to my name;

I believe I am the original, first and sole inventor (or an
original, first and joint inventor) of the subject matter which is
claimed and for which a patent is sought on the invention entitled
Baits Which Contain Amino Acids for Catching Ictalurid Catfish and
Fish with Similar Feeding Behavior, the specification of which is
attached hereto.

I hereby state that I have reviewed and understand the content of
the above-identified specification, including the claims, as
amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material
to the examination of this application in accordance with Title 37,
Code of Federal Regulations, § 1.56(a).

[X] In compliance with this duty there is attached
an information disclosure statement. 37 CFR 1.97

This is a continuation-in-part application of U.S. Patent
application, Serial Number 07/742361, filed on August 8, 1991,
which is pending, which is a continuation-in-part application of
U.S. patent application, Serial Number 07/575,983, filed on August
31, 1990, which an express abandonment has been requested.

I hereby claim the benefit under Title 35, United States Code, §
120 of any United States application(s) or PCT International
application(s) designating the United States of America that is/are
listed below and, insofar as the subject matter of each of the
claims of this application is not disclosed in that/those prior
application(s) in the manner provided by the first paragraph of
Title 35, United States Code, § 112, I acknowledge the duty to
disclose material information as defined in Title 37, Code of
Federal Regulations, § 1.56(a) which occurred between the filing

date of the prior application(s) and the national or PCT International application filing date of this application.

	U.S. Applications	U.S. Filing Date	Patented	Pending	Abandoned
1.	07/742,361	August 8, 1991		X	
2.	07/575,983	August 31, 1990			X

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

WILLIAM DAVID KIESEL, Registration No. 25,883³⁰¹
ROBERT C. TUCKER, Registration No. 31,434

SEND CORRESPONDENCE TO:

60/
60/
70/ William David Kiesel
P. O. Box 15928
Baton Rouge, Louisiana 70895

DIRECT TELEPHONE CALLS TO:

William David Kiesel
(504) 927-9908

DECLARATION: I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF SECOND JOINT INVENTOR: Tine B. Valentincic *40/06*

RESIDENCE ADDRESS: Kebetova 8c, Ljubljana, Slovenija, Yugoslavia *61000* *VUX*

POST OFFICE ADDRESS: Kebetova 8c, Ljubljana, Slovenija, Yugoslavia *61000*

COUNTRY OF CITIZENSHIP: Yugoslavia

DATE: 11-10-81

SIGNATURE: *[Signature]*

IN THE UNITED STATES OF AMERICA

PATENT AND TRADEMARK OFFICE

APPLICANTS: John T. Caprio and Tine B. Valentincic

TITLE: Baits Which Contain Amino Acids for Catching Ictalurid Catfish and Fish with Similar Feeding Behavior

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I hereby state that I have reviewed and understand the content of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

[X] In compliance with this duty there is attached an information disclosure statement. 37 CFR 1.97

This is a continuation-in-part application of U.S. Patent application, Serial Number 07/742361, filed on August 8, 1991, which is pending, which is a continuation-in-part application of U.S. patent application, Serial Number 07/575,983, filed on August 31, 1990, which an express abandonment has been requested.

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT International application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to

disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT International application filing date of this application.

	U.S. Applications	U.S. Filing Date	Patented	Pending	Abandoned
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WILLIAM DAVID KIESEL, Registration No. 25,883
ROBERT C. TUCKER, Registration No. 31,434

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FULL NAME OF SOLE OR FIRST INVENTOR: John T. Caprio 40206

RESIDENCE ADDRESS: 626 Kimbro Dr., Baton Rouge, LA 70808

POST OFFICE ADDRESS: 626 Kimbro Dr., Baton Rouge, LA 70808

COUNTRY OF CITIZENSHIP: United States

DATE: 11/19/91

SIGNATURE: John T. Caprio



IN THE UNITED STATES OF AMERICA
PATENT AND TRADEMARK OFFICE

APPLICANTS: John T. Caprio and Tine B. Valentincic

TITLE: Baits Which Contain Amino Acids for Catching Ictalurid Catfish and Fish with Similar Feeding Behavior

DOCKET NO.: 6451/043

COMMISSIONER OF PATENTS AND TRADEMARKS
WASHINGTON, D.C. 20231

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9 (f) AND 1.27 (b)) - INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9 (c) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled Baits Which Contain Amino Acids for Catching Ictalurid Catfish and Fish with Similar Feeding Behavior described in

- ☒ the specification filed herewith
- ☐ application serial no. _____,
filed _____
- ☐ patent no. _____, issued _____.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9 (c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9 (e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ no such person, concern, or organization
- ☒ persons, concerns or organizations listed below*

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME The Board of Supervisors of Louisiana State University
and Agricultural and Mechanical College

ADDRESS Baton Rouge, LA 70803

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☒ NONPROFIT ORGANIZATION

FULL NAME _____

ADDRESS _____

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

FULL NAME _____

ADDRESS _____

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

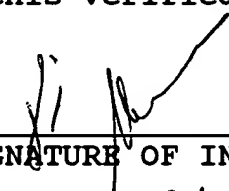
☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Tine B. Valentinčić

NAME OF INVENTOR


SIGNATURE OF INVENTOR

11-10-91
DATE



IN THE UNITED STATES OF AMERICA

PATENT AND TRADEMARK OFFICE

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TITLE: Baits Which Contain Amino Acids for Catching Ictalurid Catfish and Fish with Similar Feeding Behavior

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- ☒ the specification filed herewith
- ☐ application serial no. _____,
filed _____
- ☐ patent no. _____, issued _____.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9 (c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9 (e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ no such person, concern, or organization
- ☒ persons, concerns or organizations listed below*

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME The Board of Supervisors of Louisiana State University
and Agricultural and Mechanical College

ADDRESS Baton Rouge, LA 70803

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☒ NONPROFIT ORGANIZATION

FULL NAME _____

ADDRESS _____

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

FULL NAME _____

ADDRESS _____

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

John T. Caprio
NAME OF INVENTOR


SIGNATURE OF INVENTOR

11/19/91
DATE



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PATENT AND TRADEMARK OFFICE

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TITLE: Baits Which Contain Amino Acids for Catching Ictalurid Catfish and Fish with Similar Feeding Behavior

DOCKET NO.: 6451/043-02-50

COMMISSIONER OF PATENTS AND TRADEMARKS
WASHINGTON, D.C. 20231

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS - NONPROFIT ORGANIZATION

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

The Board of Supervisors of
Louisiana State University
and Agricultural and Mechanical College
Baton Rouge, Louisiana

I hereby declare that the above identified nonprofit organization qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code, with regard to the invention entitled Baits Incorporated with Amino Acid Compositions for Catching Ictalurid Catfish and Fish with Similar Feeding Behavior described in the specification filed herewith.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Donald W. Pennington

TITLE: Director, Office of Technology Transfer

ADDRESS: 3990 West Lakeshore Drive, Baton Rouge, Louisiana 70803

SIGNATURE



DATE

11/19/91